

Patent
6646-114N9

#10
9/11/02
TRK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:)
Ronald A. KATZ) Group Art Unit: 2643
Serial No.: 09/881,393)
Filed: June 13, 2001) Examiner: Woo, S.
For: VOICE-DATA TELEPHONIC)
INTERFACE CONTROL SYSTEM)

RECEIVED

SEP 10 2002

Technology Center 2600

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

Commissioner for Patents
Washington, D.C. 20231

09/06/2002 66EBREGI 00000087 09881393
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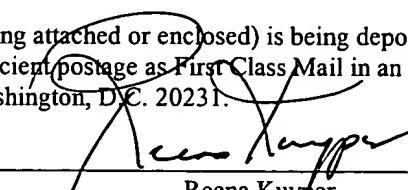
Sir:

Applicant is submitting copies of Forms PTO-1449 (SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, and IX and one other received by the U.S. Patent and Trademark Office on November 13, 1998) citing prior art that was considered by the same Examiner (as evidenced by the Examiner's initials) in parent application Serial No. 08/306,456 (now U.S. Patent No. 6,335,965). Applicant is also submitting duplicate Forms PTO-1449 for the Examiner to initial with respect to the present application.

CERTIFICATE OF MAILING
(37 C.F.R. § 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

August 30, 2002
Date of Deposit


Reena Kuyper

Since submitting these Forms PTO-1449 with respect to parent Serial No. 08/306,456, other information or references had come to Applicant's attention (cited in a related application Serial No. 08/482,218) that may be of interest. Applicant respectfully notes that such information is not more relevant than the cumulative art of record before the U.S. Patent and Trademark Office. Nonetheless, Applicant respectfully submits this information again for the Examiner's independent consideration. Additional Forms PTO-1449 that are captioned Supplemental X cite materials brought to Applicant's attention by a company named Vanguard Group, Inc.. Applicant has also learned of a Brigham Young University (hereafter "BYU") BYU reference, likely, a thesis found in the BYU library, or possibly the BYU student registration system, that may be of background interest. Applicant was not provided with a copy of this reference and therefore cannot provide it to the U.S. Patent and Trademark Office. Supplement X also cites an article entitled "*100 Cool Call Center Things*," which describes when specific aspects of call center technology were introduced.

Also, Applicant submits additional Forms PTO-1449 captioned Supplemental XI, which cites patents recently cited by Examiner, in Applicant's related Application Serial No. 09/861,377. The undersigned is providing copies of references cited in Supplemental X. It is assumed that the Examiner can obtain copies of the patents cited in Supplemental XI on-line.

Applicant requests that under 37 C.F.R. 1.97(c), the accompanying Forms PTO-1449 that list references should be placed in this file to make it available for future access by others.

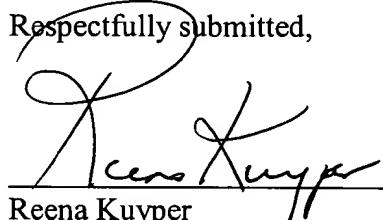
The items identified in this Information Disclosure Statement may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission of these documents by Applicant should not be construed as an admission that any such patent, publication or other

information referred to in these documents is material or considered to be material (37 C.F.R. § 1.97(h)), or even qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

The filing of this Information Disclosure Statement should not be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

This Information Disclosure Statement is being filed in accordance with 37 C.F.R. §§ 1.97(c) and 1.98. A check in the amount of \$180.00 to cover the fees designated by 1.17(p) is included. The Examiner is hereby authorized to charge any deficiencies or any additional fee required by this paper to A2D L.P.'s Deposit Account No. **50-1636**.

Dated: August 30, 2002
9220 Sunset Blvd., Suite 315
Los Angeles, California 90069
(310) 247-8191

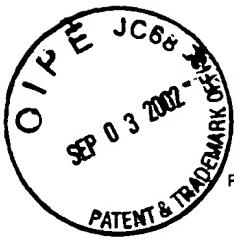
Respectfully submitted,
By: 
Reena Kuyper
Reg. No. 33,830

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2643

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TRANSMITTAL FORM

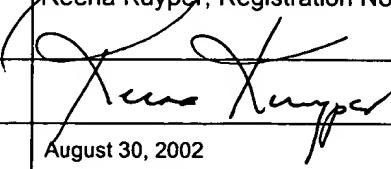
(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/881,393
		Filing Date	June 13, 2001
		First Named Inventor	Ronald A. KATZ
		Group Art Unit	2643
		Examiner Name	S. Woo
Total Number of Pages in This Submission		Attorney Docket Number	6646-114N9

ENCLOSURES (check all that apply)

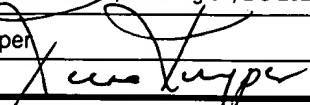
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Remarks</div>
PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII, IX, X and XI; copies of PTO Forms 1449 for SET I, SUPPLEMENTAL I, II, III, IV, V, VA, VI, VII, VIII and IX from U.S. Application Serial No. 08/306,456; Return postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Reena Kuyper, Registration No. 33,830
Signature	
Date	August 30, 2002

CERTIFICATE OF MAILING

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Typed or printed name	Reena Kuyper	Signature
		Date
		August 30, 2002

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

Application Number	09/881,393	RECEIVED
Filing Date	June 13, 2001	SEP 10 2002
First Named Inventor	Ronald A. KATZ	
Examiner Name	S. Woo	
Group Art Unit	2643	Technology Center 2600
Attorney Docket No.	6646-114N9	

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number	50-1636
Deposit Account Name	A2D, L.P.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
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FEE CALCULATION (continued)

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Extra Claims below	Fee from below	Fee Paid
		-20** =	<input type="text"/> x <input type="text"/> =	0.00
		- 3** =	<input type="text"/> x <input type="text"/> =	0.00
			<input type="text"/> =	0.00

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater. For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	180.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimer			

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 180.00)**

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Reena Kuyper	Registration No. (Attorney/Agent)	33,830	Telephone (310) 247-8191
Signature	<i>Reena Kuyper</i>			Date August 30, 2002

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FORM PTO-1449		Docket No. (optional) 6646-114N5		Application No. 08/306,456	
SET I		Applicant Donald A. Katz		Group Art Unit 2608	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date September 14, 1994			
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
SW	3,644,675	02/22/1972	Waltington		
	4,071,698	01/31/1978	Barger Jr. et al		
	4,302,810	11/24/1981	Bouricius et al		
	4,549,047	10/22/1985	Brian et al		
	4,585,906	04/29/1986	Matthews et al		
	4,763,191	08/09/1988	Gordon et al		
	4,785,408	11/15/1988	Britton et al		
	4,792,968	12/20/1988	Katz		
	4,845,739	07/04/1989	Katz		
	4,852,154	07/25/1989	Lewis et al		
	4,866,756	09/12/1989	Crane et al		
	4,996,705	02/26/1991	Entenmann et al		
SW	5,014,298	05/07/1991	Katz		
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
SW	WO 87/00375	01/15/1987	PCT (Int. Application)		
SW	1,162,336	02/14/1984	Canada		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
SW	Lexis Search Results (Great American Potato-Chip giveaway/Raisin Bran Game/Giants Baseball Trivia -- Dial Info): "In The Chips" AdWeek, July 22, 1985 "San-Fran-Police-League", Business Wire, Aug. 2, 1985 "Similar Campaigns", DM News, Dec. 15, 1985 "Phone Offers Action At Push Of Button", Advertising Age, Feb. 6, 1986				
SW	Boies, Stephen J., "A Computer Based Audio Communication System", <u>Computer Sciences Department</u> , Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article)				
EXAMINER	SW		DATE CONSIDERED 9-2-95		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.					

FORM PTO-1449

SET I

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Application No.

08/306,456

Applicant

Ronald A. Katz

Group Art Unit

Filing Date

September 14, 1994

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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>Sw</i>	Winckelmann, W.A., "Automatic Intercept Service", <u>Bell Laboratories Record</u> , May 1968, Vol 46, No. 5, pp. 138-143 - (Article)
	"Proposed Agreement Between National Enterprises Board (N.E.B.) and Delphi", Jan. 30, 1979 Voysey, Hedley, "Nexos wins rights to comms engine", <u>Computing</u> , Sept. 6, 77, Vol. 7, no. 36 - (Article) "Appraisal Of The Fair Market Value Of Delphi Communications", Apr. 30, 1980 - (Study) Delphi Communications - (Charts and Exhibits)
	"Voice-Response System Improves Order Entry, Inventory Control", <u>Communication News</u> , Aug. 1976 - (Article) "Periphonics VOICEPACK" - (Brochure) "The Voice Response Peripheral That Turns Every Touch-Tone Telephone Into A Computer Terminal", Periphonics Corporation - (Brochure)
	Rabin, Jeff, "Minorities Seek 30% Share of All Lottery Operations", <u>Sacramento Bee</u> , April 12, 1985 - (Article)
	Advertisements (Dial Giants Baseball Trivia Game): <u>San Francisco Chronicle</u> , July 3, 1984 Curtis, Cathy, "976 numbers let you dial-a-whatever", <u>San Francisco Business Journal</u> , Nov. 26, 1984 - (Article) Ferrell, Jane, "Three little numbers for instant information", <u>San Francisco Chronicle</u> , Aug. 15, 1984 - (Article)
	"Dallas Telephone Call-In Game Uses Computer Voice Interface", Sept. 24, 1984 - (Press Release)
	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key Cryptosystems", <u>Communications of the ACM</u> , Feb. 1978, Vol. 21, No. 2, pp. 120-126 - (Article)
	Finnigan, Paul F., "Audiotex: The telephone as data-access equipment", <u>Data Communications</u> , 1987, pp. 155-161 (Article)
	Ozawa, Y., et al., "Voice Response System and Its Applications", <u>Hitachi Review</u> , Dec. 1979, Vol. 28, No. 6, pp. 301-305 - (Article)
	"AT&T 2: Reaches agreement with Rockwell (ROK)", Aug. 26, 1986 - (Press Release) "AT&T: Expands Computer speech system product line", April 14, 1986 - (Press Release)
<i>Sw</i>	Adams, Cynthia, "Conversing With Computers", <u>Computerworld on Communications</u> , May 18, 1983, Vol. 17, No. 20A, pp. 36-44 - (Article)

EXAMINER

Sw

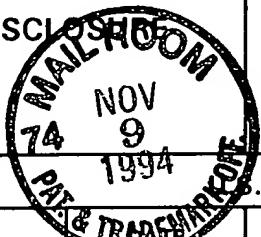
DATE CONSIDERED

9-2-95

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FORM PTO-1449

SET I

INFORMATION DISCLOSED
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>SW</i>	<ul style="list-style-type: none"> ✓ Hester, S.D., et al., "The AT&T Multi-Mode Voice Systems - Full Spectrum Solutions For Speech Processing Applications", Sept. 1985, pp. 1-10 - (Proceedings Of The 1985 AVIOS Conference) ✓ Davidson, Leon, "A Pushbutton Telephone For Alphanumeric Input", <u>Datamation</u>, Apr. 1966, pp. 27-30 - (Article) ✓ Advertisement: Cuervo Gold Beach Chair, VoiceMail Int'l, '83 ✓ "Digital's All-In-1 Voice Messaging", Digital - (Brochure) ✓ "Access Voice and Mail Messages From One Familiar Source", Insight, - (Article) ✓ "Get The Message...!" "New VoiceMail Features", <u>VoiceMail International, Inc.</u>, Oct. 1984 - (Article)
	<ul style="list-style-type: none"> ✓ Brochures (TWA Crew Scheduling/PSA's Reservation System/Universal Studios Program/Dow Phone): ✓ "AVIAR The communication system that keeps you flying", VoiceMail Int'l, - (Brochure) ✓ "TWA VOICEMAIL, Flight Attendants Users Guide" Aug. 1986, - (Brochure) ✓ Holtzman, Henry, "Voice Mail Soars At TWA", <u>Modern Office Technology</u> (Reprint), Mar. 1986, - (Article) ✓ "Bid Results via VOICEMAIL - Flight Deck Crew Members", May 1, 1985 (Script) ✓ Borden, W.S., "Flight Attendant Self Input Of Monthly Bids Via Touch Tone Telephone", <u>In-Flight Services Bulletin</u>, Sept. 15, 1985 - (Memo) ✓ "Look Ma, no operators! Automatic voice system does many airline jobs", <u>Air Transport World</u>, Oct. 1986 - (Article) ✓ "1,000,000 Shares Common Stock" <u>VoiceMail International, Inc.</u>, Jan 10, 1984 - (Public Offering Summary) ✓ Levinson, S.E., et al., "A Conversational-Mode Airline Information and Reservation System Using Speech Input and Output", <u>The Bell System Technical Journal</u>, Jan. 1980, Vol. 59, No. 1, pp. 119-137 - (Chapter from a Book)
	<ul style="list-style-type: none"> ✓ Emerson, S.T., "Voice Response Systems - Technology to the Rescue for Business Users", <u>Speech Technology</u>, Jan/Feb '93, pp. 99-103 - (Article)
<i>SW</i>	<ul style="list-style-type: none"> ✓ Moslow, Jim, "Emergency reporting system for small communities", <u>Telephony</u>, Feb. 11, 1985, pp. 30-32, 34 - (Article)

EXAMINER

SW

DATE CONSIDERED

9-2-95

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FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>rw</i>	Rabiner, L.R., et al., "Digital Techniques for Computer Voice Response: Implementation and Applications", <u>Proceedings Of The IEEE</u> , Apr. 1976, Vol. 64, No. 4, pp. 416-432 - (Article)				
	Moosemiller, J.P., "AT&T's CONVERSANT™ I Voice System" <u>Speech Technology</u> , Mar/Apr. 1986, pp. 88-93 - (Article)				
	Frank, R.J., et al., "No. 4 ESS: Mass Announcement Capability", <u>The Bell System Technical Journal</u> , July/Aug. 1981, Vol. 60, No. 6, Part 2, pp. 1049-1081 - (Chapter from a Book)				
	<ul style="list-style-type: none"> - "Chapter I General Description" <u>D.I.A.L. PRM/Release 3 - Version 2</u> Mar. 1987 (Product Reference Manual) - "Announcing Release 3.3" <u>D-A-S-H- D.I.A.L. Application and Support Hints</u>, Jan/Feb. Mar. 1987, Vol. 3, No. 1 - (Brochure) - "D.I.A.L. Software Release 4", <u>OPCOM</u>, Jan. 1988, Version 1 - (Product Reference Manual) 				
	Brady, R.L., et al., "Telephone Identifier Interface", <u>IBM Technical Disclosure Bulletin</u> , Oct. 1976, Vol. 19, No. 5, pp. 1569-1571 - (Article)				
	Corbett, A.J., "Telephone Enquiry System Using Synthetic Speech", <u>University of Essex</u> , Dec. 1974, (Thesis)				
	Yoshizawa, K., et al., "Voice Response System for Telephone Betting", <u>Hitachi Review</u> , June 1977, Vol. 26, No. 6 - (Article)				
	Sagawa, S., et al., "Automatic Seat Reservation By Touch-Tone Telephone", <u>Second USA Japan Computer Conference</u> , 1975, Vol. 2, pp. 290-294 - (Article)				
	Smith, S.L., "Computer-Generated Speech and Man-Computer Interaction", <u>Human Factors</u> , 1970, 12(2), pp. 215-223 - (Article)				
	Newhouse, A., et al., "On The Use Of Very Low Cost Terminals", <u>University of Houston</u> , pp. 240-249 - (Paper)				
<i>rw</i>	Mullen, R.W., "Telephone - home's 'friendliest' Computer", <u>Inside Telephone Engineer And Management</u> , May 15, 1985, Vol 89, No. 10, - (Article)				
EXAMINER	<i>SMU</i>		DATE CONSIDERED 9-2-95		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.					

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					TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>SW</i>	<ul style="list-style-type: none"> — "Telephone Computing Entering Service Bureau Business", <u>American Banker</u>, July 5, 1979 - (Article) — Kutler, Jeffrey, "Technology, System Sharing Improve Phone Banking Outlook", <u>American Banker</u>, Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article) — Kutler, Jeffrey, "Phone Bill Paying Accessed by Pioneer", <u>American Banker</u>, Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article) 				
	<ul style="list-style-type: none"> — "User's Guide", <u>Dowphone</u> — "Audiotex Information From Dow Jones", <u>The Computer Review</u>, Nov. 1984, Vol. 2, No. 1 - (Article) — "Dow Phone Adds Innovest Systems' Technical Analysis Reports" <u>IDP Report</u>, Jan. 3, 1986 - (Report) 				
	<ul style="list-style-type: none"> — Perdue, R.J., et al., "Conversant 1 Voice System: Architecture and Applications", <u>AT&T Technical Journal</u>, Sept/Oct. 1986 - (Article) 				
	<ul style="list-style-type: none"> — Martin, James, "Design of Man-Computer Dialogues", <u>IBM System Research Institute</u>, Chapter 16, pp. 283-306 - (Chapter from a Book) 				
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.					

FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
					FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
					TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
	"Automatic Call Distributor/Management Information System: Interface between 1/1AESS™ Switch Central Office and Customer Premises Equipment", <u>Bell Communications Research</u> , Dec. 1986, Technical Reference TR-TSY-000306, Issue 1 - (Article) "Comparison Of ACD Systems", <u>Connection</u> , Feb. 1990 - (Chart) "ACD Comparison", <u>Aspect</u> , Feb. 2, 1990 - (Final Report)				
EXAMINER	DATE CONSIDERED				
<i>SM</i>	<i>9-2-95</i>				
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SUPPLEMENTAL I		Applicant Ronald A. Katz		Group Art Unit 2608	
INFORMATION DISCLOSURE CITATION IN APPLICATION		Filing Date September 14, 1994		2608	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
<i>an</i>	2,941,161	06/14/1960	Scantlin		
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<i>an</i>	-Proposal for Kome Mediavoice Interactive Phone/Database Marketing System", -Mediavoice Startup Software Package For Kome" -Optional Mediavoice Software Packages For Kome" -Why ATI Mediavoice Is The Choice For Success" - (Proposal)				
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Applicant

Ronald A. Katz

Group Art Unit

Filing Date

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2608

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	3,568,157	03/02/1971	Downing			
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<i>g</i>	3,581,072	05/25/1971	Nymeyer			

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<i>g</i>	Meade, Jim, Dec., 29, 1992 - (Letter)
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	"Voice Response in Banking Applications", <u>Datapro Research Corporation</u> , Delran, N.J., Oct. 1974 and Feb. 1983 - (Article)
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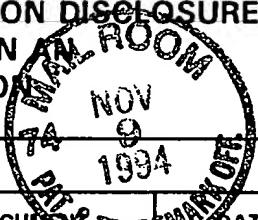
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FORM PTO-1449

SUPPLEMENTAL I

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Docket No. (optional)

6646-114N5

Application No.

08/306,456

Applicant

Ronald A. Katz

Group Art Unit

Filing Date

September 14, 1994

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<i>SM</i>	"Riding Gain", <u>Broadcasting</u> , March 7, 1983 - (Article)
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EXAMINER INITIAL	DOCUMENT NUMBER	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>g</i>	3,858,032	12/31/1974	Scantlin		
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<i>g</i>	4,009,342	02/22/1977	Fahrenschon et al.		
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<i>g</i>	Hirschman, C.B., et al., "LASS: Putting the telephone customer in charge", <u>Bell Laboratories Record</u> , 1985, Vol. 63, pp. 10-16 - (Article)				
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	"Power To...", <u>Dialogic Corporation</u> , Littleton Road, - (unidentifiable Article)				
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<i>g</i>	Flanagan, J.L., et al., "Synthetic voices for computers", <u>IEEE Spectrum</u> , Oct. 1970, Vol. 7, No. 10, pp. 22-45 - (Article)				
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<i>sm</i>	4,012,599	03/16/1977	Meyer		
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	4,200,770	04/29/1980	Hellman et al.		
<i>sm</i>	4,201,887	05/06/1980	Burns		
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<i>sm</i>	Rosenthal, L.H., et al., "A Multiline Computer Voice Response System Utilizing ADPCM Coded Speech", <u>IEEE Transactions on Acoustics, Speech, and Signal Processing</u> , Oct. 1974, Vol. ASSP-22, No. 5, pp. 339-352 - (Article)				
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		Filing Date September 14, 1994			
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	4,260,854	04/07/1981	Kolodny et al.		
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	Gummings, J.L., et al., "AT&T Network Architecture Evolution", <u>AT&T Technical Journal</u> , May/June 1987, Vol. 66, Issue 3, pp. 2-12 - (Article)				
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SM</i>	4,338,494	07/06/1982	Theis			
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<i>SM</i>	4,547,851	10/15/1985	Kurland			
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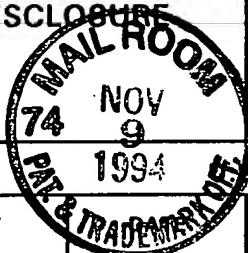
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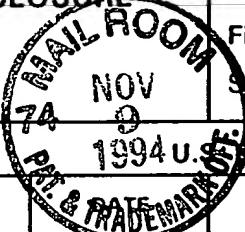
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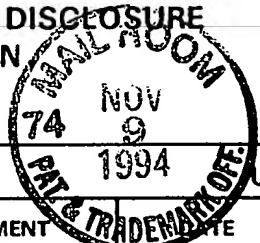
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FORM PTO-1449		Docket No. (optional) 6646-114N5		Application No. 08/306,456	
SUPPLEMENTAL I		Applicant Ronald A. Katz		Group Art Unit 2608	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date September 14, 1994			
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
<p><i>S</i> "Machine Operation Manual", May 12, 1978, Issue 1, pp. 1-3, 9-10 - (Manual)</p> <p><i>S</i> Davey, J.P., "Dytel Western Region Sales Training Manual", 1985 - (Manual)</p> <p><i>S</i> Gutcho, Lynette, "DECtalk - A Year Later", <u>Speech Technology</u>, Aug/Sept. 1985, pp. 98-102 - (Article)</p> <p><i>S</i> Daniels, Richard, "Automating Customer Service", <u>Insurance Software Review</u>, Aug/Sept. 1989, pp. 60-62 - (Article)</p> <p><i>S</i> Golbey, S.B., "Fingertip Flight Service", Oct. 1985 - (Article)</p> <p><i>S</i> "ARO Goes Pushbutton", <u>Newsletter</u>, Nov. 1985, p. 9 - (Article)</p> <p><i>S</i> "ROLM Centralized Attendant Service", <u>ROLM Corporation</u>, 1979</p> <p><i>S</i> "AIS, Versatile Efficient Information Service", <u>Fujitsu Limited</u>, 1972, pp. 153-162 - (Brochure)</p> <p><i>S</i> Smith, S.L., et al., "Alphabetic Data Entry Via the Touch-Tone Pad: A Comment", <u>Human Factors</u>, 1971, 13(2), pp. 189-190 - (Book)</p> <p><i>S</i> Holtzman, Henry, "Still an Infant Technology VOICE MAIL", <u>Modern Office Technology</u>, June 1985, pp. 78-80, 82, 84, 90 - (Article)</p> <p><i>S</i> Leander, Monica, "Voice Response - A Technology for Solving Management Problems", <u>Speech Technology</u>, March/April 1986, pp. 50-52 - (Article)</p> <p><i>S</i> Stolker, Bud, "CompuCorder speech storage and output device. (evaluation)", <u>Creative Computing</u>, July 1983, pp. 1-7</p> <p><i>S</i> Witten, I.H., et al., "The Telephone Enquiry Service: a man-machine system using synthetic speech", <u>Int. J. Man-Machine Studies</u>, July 1977, 9, pp. 449-464 - (Book)</p> <p><i>S</i> Gould, R.L., "Fidelity's Automated Voice Response System", <u>Telecommunications</u>, Jan. 1981, pp. 27-28 - (Article)</p> <p><i>S</i> "Fidelity Automated Service Telephone", <u>Fidelity Group</u>, 4 pages - (Manual)</p>					
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FORM PTO-1449 SUPPLEMENTAL I		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz	Application No. 08/306,456 Group Art Unit 2608		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date September 14, 1994			
 1994 U.S. PATENT DOCUMENTS					
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<p><i>Sm</i></p> <ul style="list-style-type: none"> — "Data Set 407 Interface Specification", <u>Manager - Data Systems & Operations</u>, June 1975, Issue 2, pp. 1-69 plus Table of Contents - (Manual) — Fitzwilliam, J.W., et al., "Transaction Network, Telephones, and Terminals", <u>The Bell System Technical Journal</u>, Dec. 1978, Vol. 57, No. 10, pp. 3325-3537 - (Book) — <u>Inbound Outbound</u>, May 1988, complete issue — Koch, Helmut, "Concord Design Services, Inc. Corporate Description", <u>Exacom</u> — Federal Communications Commission, FDC Form 484, Registration, Registrant: Concord Design Services, Inc. <u>Exacom Telecommunication Systems</u> - Brochure — General Description Installation and Operation Manual for Direct Inward Dial (DID) Trunk Interface Unit, <u>Exacom Telecommunication Systems</u>, Nov. 21, 1989, Issue 3 - (Manual) — General Description Installation and Operation Manual for Answering Service Monitor System, <u>Concord Design Services, Inc.</u>, Dec. 19, 1986, Issue 1 - Manual 					
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FORM PTO-1449

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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Application No.

08/306,456

Group Art Unit

2608

Filing Date

September 14, 1994

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	TRADEMARK	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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<i>✓</i>	Weitzen, H.S., "Telephone Magic", pp. 28-31, 38-39, 54-55, 62-67, 70-79, 82-85, 88-91, 106-115, 118-121, 126-127, 134-137, 176-177, Index - (Chapters from a Book)
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<i>✓</i>	"Voicetek VS-50 Telephone Interface System", April 25, 1984, System Integration Guide - (Manual)
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Signature

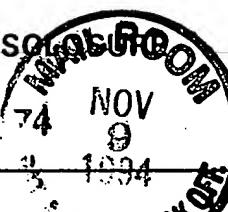
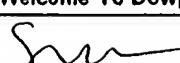
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<i>SM</i>	"The Most Respected Name In Telemarketing", <u>West Interactive Corporation</u> - (2 Brochures)					
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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSED
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Docket No. (optional)

6646-114N5

Application No.

08/306,456

Applicant

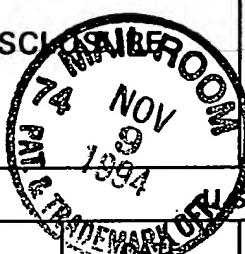
Ronald A. Katz

Group Art Unit

2608

Filing Date

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	Pub. 0,249,575	12/16/1987	EPC			
	Pub. 0,295,837	12/21/1988	EPC			
	Pub. 0,342,295	11/23/1989	EPC			
	Pub. 0,434,181	06/26/1991	EPC			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Schrage, Michael, "A Game Von Meister in Pursuit of Profits", <u>Washington Post</u> , Sept. 23, 1985 - (Article)
	Svigals, J., "Low Cost Point-Of-Sale Terminal", <u>IBM Technical Disclosure Bulletin</u> , Sept. 1982, Vol. 25, No. 4, p. 1835
	Turbat, A., "Telepayment And Electronic Money The Smart Card", <u>Communication & Transmission n° 5</u> , 1982, pp. 11-20 - (Article)

EXAMINER

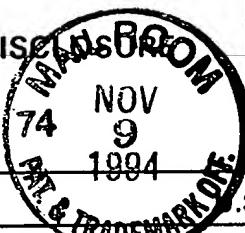
DATE CONSIDERED

9-2-95

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSED
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Application No.

08/306,456

Applicant

Ronald A. Katz

Group Art Unit

2608

Filing Date

September 14, 1994

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
S	4,577,062	03/18/1986	Hilleary et al.			
	4,577,067	03/18/1986	Levy et al.			
	4,578,700	03/25/1986	Roberts et al.			
	4,580,012	04/01/1986	Matthews et al.			
	4,584,602	04/22/1986	Nakagawa			
	4,587,379	05/06/1986	Masuda			
	4,611,094	09/09/1986	Asmuth et al.			
	4,625,276	11/25/1986	Benton et al.			
	4,630,200	12/16/1986	Ohmae et al.			
	4,630,201	12/16/1986	White			
	4,634,809	01/06/1987	Paulsson et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
S	WO89/09530	10/05/1989	PCT Publication			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
S	9-2-95

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

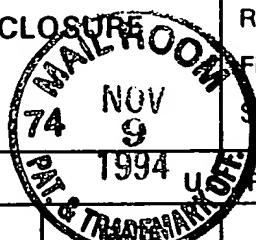
September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
S	4,658,417	04/14/1987 Hashimoto et al.			
	4,674,044	06/16/1987 Kalmus et al.			
	4,697,282	09/29/1987 Winter et al.			
	4,706,275	11/10/1987 Kamil			
	4,745,468	05/17/1988 Von Kohorn			
	4,756,020	07/05/1988 Fodale			
	4,761,684	08/02/1988 Clark et al.			
	4,788,682	11/29/1988 Vij et al.			
	4,788,715	11/29/1988 Lee			
	4,797,913	01/10/1989 Kaplan et al.			
	4,805,209	02/14/1989 Baker, Jr., et al.			
S	4,812,843	03/14/1989 Champion III, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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DATE CONSIDERED

9-2-95

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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Application No.

08/306,456

Applicant

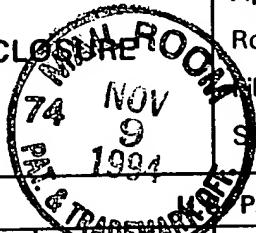
Ronald A. Katz

Group Art Unit

Filing Date

2608

September 14, 1994



PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Gu</i>	4,815,121	03/21/1989	Yoshida			
	4,827,500	05/02/1989	Binkerd et al.			
	4,893,328	01/09/1990	Peacock			
	4,896,345	01/23/1990	Thorne			
	4,907,079	03/06/1990	Turner et al.			
	4,937,853	06/26/1990	Brule et al.			
	4,972,461	11/20/1990	Brown et al.			
	5,001,710	03/19/1991	Gawrys et al.			
<i>Gu</i>	5,003,574	03/26/1991	Denq et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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DATE CONSIDERED

9-2-95

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449 SUPPLEMENTAL III INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
<i>sw</i>	3,594,004	07/20/1971	Barr		
	3,998,465	12/21/1976	Mascola		
	4,121,052	10/17/1978	Richard		
	4,586,707	05/06/1986	McNeight et al.		
<i>sw</i>	4,781,377	11/01/1988	McVean et al.		
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
<i>sw</i>	0 120 322	02/27/1984	EPC		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
EXAMINER	<i>sw</i>		DATE CONSIDERED <i>9-2-95</i>		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.					

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DEC-5 96
GROUP 2600

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Sw</i>	2,902,541	09/01/59	Singleton		7	
	3,394,246	07/23/68	Goldman			
	3,544,769	12/01/70	Hedin			
	3,617,638	11/02/71	Jochimsen et al.			
	3,696,335	10/03/72	Lemelson			
	3,800,283	03/26/74	Gropper			
	3,881,160	04/29/75	Ross			
	3,889,050	06/10/75	Thompson			
	3,912,874	10/14/75	Botterell et al.			
<i>Sw</i>	3,918,174	11/11/75	Miller et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>Sw</i>	OS 2929416	02/05/81	Germany			
<i>Sw</i>	OS 3726366	02/11/88	Germany			
<i>Sw</i>	2184327A	06/17/87	United Kingdom			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>Sw</i>	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation
<i>Sw</i>	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation
EXAMINER <i>Sw</i>	DATE CONSIDERED 2-14-97

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608			
RECEIVED DEC - 5 96 GROUP 2600						
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Sw</i>	4,054,756	10/18/77	Comella et al.		7	
	4,117,278	09/26/78	Ehrlich et al.			
	4,162,377	07/24/79	Mearns			
	4,191,376	03/04/80	Goldman			
	4,241,942	12/30/80	Bachman			
	4,242,539	12/30/80	Hashimoto			
	4,299,637	11/10/81	Oberdeck et al.			
	4,348,554	09/07/82	Asmuth			
	4,360,827	11/23/82	Braun			
	4,451,087	09/10/85	Comstock			
<i>Sw</i>	4,490,583	12/25/84	Bednarz et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>Sw</i>	0 229 170 A	07/22/87	EPC		7	
	0 568 114 A	11/03/93	EPC			
	0 620 669 A	10/19/94	EPC			
<i>Sw</i>	500138/88	01/14/88	Japan		4	
<i>Sw</i>	298158/90	12/20/90	Japan			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>Sw</i>	C.R. Newsom, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35					
<i>Sw</i>	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-l, 68					
<i>Sw</i>	A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50					
EXAMINER	<i>Sw</i>			DATE CONSIDERED 2-14-97		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.						

FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608
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DEC - 5 96
GROUP 2600

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SM</i>	4,555,594	11/26/85	Friedes et al.			
	4,562,342	12/31/85	Solo			
	4,567,359	01/28/86	Lockwood			
	4,582,956	04/15/86	Doughty			
	4,591,190	03/27/86	Clark			
	4,614,367	09/30/86	Breen			
	4,652,998	03/24/87	Koza			
	4,663,777	05/05/87	Szeto			
	4,671,512	06/09/87	Bachman et al.			
	4,677,553	06/30/87	Roberts et al.			
<i>SM</i>	4,694,490	09/15/87	Harvey et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>SM</i>	41855/91	02/22/91	Japan			
<i>SM</i>	2 230 403 A	10/17/90	United Kingdom			
<i>SM</i>	2,009,937-2	08/21/90	Canada			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>SM</i>	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43
	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51
	"Let your fingers do the tapping... ...and the computer the talking," Modern Office Tech., May 1984 at 80
	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
<i>SM</i>	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36
EXAMINER	<i>SM</i> DATE CONSIDERED 2-14-97

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to the applicant.

FORM PTO-1449		Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5)		Application No. 08/306,456 Group Art Unit 2608		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Ronald A. Katz Filing Date September 14, 1994		RECEIVED DEC -5 96 GROUP 2608		
U.S. PATENT DOCUMENTS						
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<i>SV</i>	4,704,725	11/03/87	Harvey et al.			
	4,716,583	12/29/87	Groner et al.			
	4,764,666	08/16/88	Bergeron			
	4,766,604	08/23/88	Axberg			
	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.			
	4,796,293	01/03/89	Blinken et al.			
	4,797,910	01/10/89	Daudelin			
	4,842,278	06/27/89	Markowicz			
	4,847,890	07/11/89	Solomon et al.			
	4,882,473	11/21/89	Bergeron et al.			
	4,894,857	01/16/90	Szlam et al.			
	4,899,375	02/06/90	Bauer et al.			
<i>SV</i>	4,942,598	07/17/90	Davis			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>SV</i>	9002131	08/21/90	France			
<i>SV</i>	DE 4005365 A1	08/23/90	Germany			
<i>SV</i>	WO93105483	03/18/1993	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	<i>SV</i>			DATE CONSIDERED <i>2-14-97</i>		
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FORM PTO-1449		Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5)		Application No. 08/306,456 Group Art Unit 2608		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Ronald A. Katz Filing Date September 14, 1994		RECEIVED DEC -5 96 GROUP 2600		
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<i>Sw</i>	4,964,157	10/16/90	Aoshima			
	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.			
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et al.			
	5,109,414,	04/28/92	Harvey et al.			
<i>Sw</i>	5,127,003	06/30/92	Doll, Jr. et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	<i>Sw</i>			DATE CONSIDERED 2-14-97		
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	
<i>SM</i>	5,146,491	09/08/92	Silver et al.			
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
<i>SM</i>	5,353,335	10/04/94	D'Urso et al.			
FOREIGN PATENT DOCUMENTS						
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER	<i>SM</i>			DATE CONSIDERED <i>2-14-97</i>		
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114N5) SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994 GROUP:
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	3,787,632	01/1974	Male et al.	-----	-----	-----
	3,940,569	02/1976	Schonbrun	-----	-----	-----
	3,987,252	10/1976	Vicari	-----	-----	-----
	4,068,099	01/1978	Mikkola	-----	-----	-----
	4,087,638	05/1978	Hayes et al.	-----	-----	-----
	4,090,034	05/1978	Moylan	-----	-----	-----
	4,160,129	07/1979	Peyser et al.	-----	-----	-----
	4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
	4,221,933	09/1980	Cornell et al.	-----	-----	-----
	4,255,619	03/1981	Saito	-----	-----	-----
	4,360,875	11/1982	Behnke	-----	-----	-----
	4,401,856	08/1983	Curtin et al.	-----	-----	-----
	4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
	4,445,001	04/1984	Bertoglio	-----	-----	-----
	4,517,412	05/1985	Newkirk et al.	-----	-----	-----
	4,518,824	05/1985	Mondardini	-----	-----	-----
	4,549,291	10/1985	Renoulin	-----	-----	-----
	4,585,903	04/1986	Schiller et al.	-----	-----	-----
	4,591,665	05/1986	Foster et al.	-----	-----	-----
	4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
	4,611,096	09/1986	Asmuth et al.	-----	-----	-----
SW	4,625,081	11/25/86	Lotito et al.	-----	-----	-----

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DATE CONSIDERED:

2-27-01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114NS)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>Sw</i>	4,640,991	02/1987	Matthews et al.	-----	-----	-----
	4,672,660	06/1987	Curtin	-----	-----	-----
	4,685,127	08/1987	Miller et al.	-----	-----	-----
	4,747,124	05/1988	Ladd	-----	-----	-----
	4,759,056	07/1988	Akiyama	-----	-----	-----
	4,761,808	08/1988	Howard	-----	-----	-----
	4,791,666	12/1988	Cobb et al.	-----	-----	-----
	4,797,818	01/1989	Cotter	-----	-----	-----
	4,805,207	02/1989	McNutt et al.	-----	-----	-----
	4,878,240	10/1989	Lin et al.	-----	-----	-----
	4,893,325	01/1990	Pankonen et al.	-----	-----	-----
	4,896,346	1/1990	Belfield et al.	-----	-----	-----
	4,899,373	02/1990	Lee et al.	-----	-----	-----
	4,908,852	03/1990	Hird et al.	-----	-----	-----
	4,922,519	05/1990	Daudelin	-----	-----	-----
	4,933,965	02/1991	Hird	-----	-----	-----
	4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
	5,036,535	07/1991	Gechter et al.	-----	-----	-----
	5,199,062	03/1993	Von Meister et al.	-----	-----	-----
	5,222,120	06/1993	McLeod et al.	-----	-----	-----
	5,299,260	03/1994	Shaio	-----	-----	-----
<i>Sw</i>	5,303,298	04/1994	Morganstein	-----	-----	-----

EXAMINER:

Stella Ubo

DATE CONSIDERED:

2-24-01

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609;
 Draw line through citation if not in conformance and not considered. Include a copy of this form with next
 communication to applicant

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114N5) SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994 GROUP:
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>Sw</i>		5,303,299	04/1994	Hunt et al.	-----	-----	-----
		5,354,069	10/1994	Guttman et al.	-----	-----	-----
		5,418,844	05/1995	Morrisey et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
		0 249 795	12/1987	EPC	-----	-----	No
		2 575 016	07/1986	France	-----	-----	No
		WO 82/02132	06/1982	PCT	-----	-----	yes

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	Dial Info Articles (various articles with various dates) (R0016101-R0016188)
	Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
	AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
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EXAMINER:

Antell, M.

DATE CONSIDERED:

2-24-01

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FORM PTO-1449 SUPPLEMENT V	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT: Ronald A. Katz	
		FILING DATE: September 14, 1994	GROUP: 2643

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER: Stella Wbs DATE CONSIDERED: 2-24-01

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SUPPLEMENTAL VA

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228/046
(prev. 6646-114N5)SERIAL NO.
08/306,456 ✓APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
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	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
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	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
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	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
S	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	5,186,471	02/1993	Vancraeynest	-----	-----	-----
	5,214,689	05/1993	O'Sullivan	-----	-----	-----
	5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
	5,289,531	02/1994	Levine	-----	-----	-----
	5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
	5,361,295	11/1994	Solomon, et al.	-----	-----	-----
	5,369,685	11/1994	Kero	-----	-----	-----
	5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
	5,511,112	04/1996	Szlam	-----	-----	-----
	5,537,143	07/1996	Steingold, et al.	-----	-----	-----
	5,561,710	10/1996	Helms	-----	-----	-----
	5,623,536	04/1997	Solomon, et al.	-----	-----	-----
	5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
SW	WO 92/06548	04/1992	PCT	-----	-----	Yes

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	APPLICANT: Ronald A. Katz	
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SUPPLEMENTAL VI

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,832,341	05/23/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----
	5,787,156	07/28/98	Katz	-----	-----	-----
Sw	5,790,636	08/1998	Marshall	-----	-----	-----

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
<i>Sw</i>		5,815,551	09/1998	Katz	-----	-----	-----
		5,835,576	11/10/98	Katz	-----	-----	-----

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
		32 25 562	01/19/84	Germany	-----	-----	No
		2 252 270 B	08/1992	Great Britain	-----	-----	yes
		0 217 308.A2	04/08/87	Europe	-----	-----	yes
		0 917 335 A2	05/19/99	Europe	-----	-----	yes
		246067/1988	10/13/88	Japan	-----	-----	No
		009353/1988	01/16/88	Japan	-----	-----	No
		117436/1989	05/10/89	Japan	-----	-----	No
		54-62708	05/21/79	Japan	-----	-----	No
		52-17739	02/09/77	Japan	-----	-----	No
		59-83270	05/14/84	Japan	-----	-----	No
		62-92654	04/28/87	Japan	-----	-----	No
		62-98848	05/08/87	Japan	-----	-----	No
<i>Sw</i>		63-114442	05/19/88	Japan	-----	-----	No

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

✓		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
		A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18, 1988.
		Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987
		Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
		Allyn, Mark R. et al., "Planning for people: Human factors in the design of a new service," Bell Laboratories Record, May 1980, pp. 155-161
✓		Hanson, Bruce L., et al., "No. 1A VSS New custom calling services," Bell Laboratories Record, June 1980, pp. 174-180

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FORM PTO-1449		Docket No. (optional) 228/046 (prev. 6646-114N5)		Application No. 08/306,456 Group Art Unit 2643		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Applicant Ronald A. Katz Filing Date 09/14/1994				
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
St	WO 87/00370	01/15 /1987	PCT (Int. Application)	-----	-----	-----
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
	Aarons, D., "The Voice of the 80's," PC Magazine, Volume 4, Number 5, March 5, 1985, p. 114 (A21707135)					
	"ACD 'Magic' from AT&T's Merlin," Telecommunications Product Review, Volume 13, Number 4, April 1986 (A21708371)					
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	Allerbeck, M., "Experience with the Voice Mail System EMS 2000 Info - Results of an Acceptance Study," ISS '84 Florence, May 1984, Session 14 A, Paper 6, Page 1					
	Allyn, Mark R., et al., "Human Factors in the Design of a New Service," Bell Laboratories Record, Volume 58, Number 8, May 1980 (A21709530)					
	Amano, Fumio, et al., "Imagephone!!: Integrated Voice/Data Terminal With Hand-Drawing Man-Machine Interface," IEEE, 1985 (A03701430)					
	Ambrosio, Johanna, "Electronic and Voice Mail; They're No Match for Each Other-Yet," Computerworld, May 19, 1986, p. 53 (A21708461)					
	"American-Network: Files Complaint Against Pacific Northwest Bell," Business Wire, June 4, 1986 (A21708495)					
	"American-Network: Signs Letter of Intent to Merge L D Communications Long Distance Service into the Company," Business Wire, August 14, 1984 (A21708856)					
	Andrews, Edmund L., "Patents: Computer System Lets TV Audience Join Show," The New York Times, December 24, 1988 (A01331146)					
	Amst, Catherine, Untitled Article, Reuters, September 16, 1984 (A21708908)					
St	"AT&T Announces Major Additions to Telemarketing Products and Services," Telephone News, December 14, 1987 (A21723927)					
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					TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
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	"AT&T Announces New Software Enhancements for its PBX," PR Newswire, February 10, 1987 (A21707779)				
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	"The AT&T System 25," Telecommunications Product Review, Volume 13, Number 8, August 1986 (A21706368)				
	Press Release, PR Newswire, November 1, 1984 (A21708963)				
EXAMINER	<i>Stella Wbo</i>			DATE CONSIDERED <i>2-24-01</i>	
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
	AT&T Technical Journal – The 5ESS Switching System, Volume 64, Number 6, Part 2, July-August 1985 (A21723626)				
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	Basso, Richard J., et al., "Expanding the Capabilities of the Traffic Service Position System," Bell Laboratories Record, February 1983, pp. 22-27 (A21724556)				
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EXAMINER <i>Stella Wb</i>			DATE CONSIDERED <i>2-24-01</i>		
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FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
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FORM PTO-1449

Docket No. (optional)

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228/046

08/306,456

(prev. 6646-114N5)

Group Art Unit

INFORMATION
DISCLOSURE CITATION IN
AN APPLICATION

Applicant

2643

SUPPLEMENTAL VII

Ronald A. Katz

Filing Date

09/14/1994

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>S</i>	Bowling, Tom, "Pay TV: A Pay-Per-Minute System Prototype," Television: Journal of the Royal Television Society, March-April 1984, pp. 79-83 (A21724588)
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FORM PTO-1449		Docket No. (optional)		Application No.	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		228/046 (prev. 6646-114N5)		08/306,456	
SUPPLEMENTAL VII		Applicant Ronald A. Katz		Group Art Unit 2643	
		Filing Date 09/14/1994			

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FORM PTO-1449

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Application No.

228/046

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(prev. 6646-114N5)

Group Art Unit

Applicant

2643

Ronald A. Katz

Filing Date

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INFORMATION
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Docket No. (optional)

228/046
(prev. 6646-114N5)
Applicant
Ronald A. Katz
Filing Date
09/14/1994

Application No.

08/306,456
Group Art Unit
2643

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<i>Sw</i>	
EXAMINER	<i>Stella Woo</i>
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII	Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994	Application No. 08/306,456 Group Art Unit 2643
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<i>Stella Wb</i>	2-24-01

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RM P10-1449

SUPPLEMENTAL VIII

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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ATTY. DO. O.
228/046 (6646-1 NS)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER: S. Woo

DATE CONSIDERED:

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ATTY. DO)
228/046 (6646-114N5)

SERIAL NO.
08/306.456

APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

GROUP:
2643

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EXAMINER: S. Woo

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ATTY. DOCKE: 228/046	SERIAL NO. 08/306,456
APPLICANT: Ronald A. Katz	
FILING DATE: September 14, 1994	GROUP: 2743

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MINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
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